

VIA eFILE  
Form PTO-1449

Applicant: Richard S. Ginn, et al.  
Serial No.: 10/682,459  
Filing Date: October 08, 2003  
For: PLUNGER APPARATUS AND METHODS FOR DELIVERING  
A CLOSURE DEVICE

Sheet 1 of 32  
Confirmation No.: 1645  
Att'y Docket No.: 16497.3.1  
Art Unit: 3731

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patents

Examiner Initial*	Document Number	Date	Name
____1	287,046	10/23/1883	Norton
____2	1,088,393	02/24/1914	Backus
____3	1,331,401	02/17/1920	Summers
____4	2,087,074	07/13/1937	Tucker
____5	2,453,227	11/09/1948	James
____6	2,684,070	07/20/1954	Kelsey
____7	2,944,311	07/12/1960	Schneckenberger
____8	2,969,887	01/31/1961	Darmstadt et al.
____9	3,015,403	01/02/1962	Fuller
____10	3,142,878	08/04/1967	Santora
____11	3,482,428	12/09/1969	Kapitanov et al.
____12	3,944,114	03/16/1976	Coppens

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____13	4,014,492	03/29/1977	Rothfuss
____14	4,207,870	06/17/1980	Eldridge
____15	4,278,091	07/14/1981	Borzone
____16	4,318,401	03/09/1982	Zimmerman
____17	4,428,376	01/31/1984	Mericle
____18	4,505,273	03/19/1985	Braun et al.
____19	4,523,695	06/18/1985	Braun et al.
____20	4,525,157	06/25/1985	Valaincourt
____21	4,607,638	08/26/1986	Crainich
____22	4,610,251	09/09/1986	Kumar
____23	4,610,252	09/09/1986	Catalano
____24	4,665,906	05/19/1987	Jervis
____25	4,724,840	02/16/1988	McVay et al
____26	4,738,658	04/19/1988	Magro et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____27	4,744,364	05/17/1988	Kensey
____28	4,771,782	09/20/1988	Millar
____29	4,789,090	12/06/1988	Blake, III
____30	4,832,688	05/23/1989	Sagae et al.
____31	4,836,204	06/06/1989	Landymore et al.
____32	4,852,568	08/01/1989	Kensey
____33	4,874,122	10/17/1989	Froelich et al.
____34	4,878,915	11/07/1989	Brantigan
____35	4,887,601	12/19/1989	Richards
____36	4,934,364	06/19/1990	Green
____37	5,015,247	05/14/1991	Michelson
____38	5,021,059	06/04/1991	Kensey et al.
____39	5,061,274	10/29/1991	Kensey
____40	5,100,418	03/31/1992	Yoon et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____41	5,108,421	04/28/1992	Fowler
____42	5,114,032	05/19/1992	Laidlaw
____43	5,114,065	05/19/1992	Storace
____44	5,131,379	07/21/1992	Sewell, Jr.
____45	5,147,381	09/15/1992	Heimerl et al.
____46	5,167,634	12/01/1992	Corrigan, Jr. et al.
____47	5,171,249	12/15/1992	Stefanchik et al.
____48	5,192,300	03/09/1993	Fowler
____49	5,192,301	03/09/1993	Kamiya et al.
____50	5,209,756	05/11/1993	Seedhorm et al.
____51	5,243,857	02/28/1995	Velez
____52	5,246,156	09/21/1993	Rothfuss et al.
____53	5,246,443	09/21/1993	Mai
____54	5,290,243	03/01/1994	Chodorow et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____55	5,290,310	03/01/1994	Makower et al.
____56	5,292,309	03/08/1994	Van Tassel et al.
____57	5,292,332	03/08/1994	Lee
____58	5,306,254	04/26/1994	Nash et al.
____59	5,320,639	06/14/1994	Rudnick
____60	5,334,216	08/02/1994	Vidal et al.
____61	5,350,399	09/27/1994	Erlebacher et al.
____62	5,352,229	10/04/1994	Goble et al.
____63	5,366,479	11/22/1994	McGarry et al.
____64	5,392,978	02/28/1995	Valez et al.
____65	5,395,030	03/07/1995	Kuramoto et al.
____66	5,411,520	05/02/1995	Nash et al.
____67	5,413,571	05/09/1995	Katsaros et al.
____68	5,413,584	05/09/1995	Schulze

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____69	5,416,584	05/16/1995	Kay
____70	5,417,699	05/23/1995	Klein et al.
____71	5,423,857	06/13/1995	Rosenman et al.
____72	5,431,639	07/11/1995	Shaw
____73	5,443,481	08/22/1995	Lee
____74	5,456,400	10/10/1995	Shichman et al.
____75	5,470,010	11/28/1995	Rothfuss et al.
____76	5,474,557	12/12/1995	Mai
____77	5,478,352	12/26/1995	Fowler
____78	5,478,353	07/18/1994	Yoon et al.
____79	5,486,195	01/23/1996	Myers et al.
____80	5,507,755	04/16/1996	Gresl et al.
____81	5,522,840	06/04/1996	Krajicek
____82	5,536,251	07/16/1996	Evard et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____83	5,544,802	08/13/1996	Crainich
____84	5,560,532	10/01/1996	DeFonzo et al.
____85	5,591,205	01/07/1997	Fowler
____86	5,601,602	02/11/1997	Fowler
____87	5,620,461	04/15/1997	Muijs et al.
____88	5,643,318	07/01/1997	Tsukernik et al.
____89	5,645,565	07/08/1997	Rudd et al.
____90	5,645,566	07/08/1997	Brenneman et al.
____91	5,645,567	07/08/1997	Crainich
____92	5,676,689	10/14/1997	Kensey et al.
____93	5,676,974	10/14/1997	Valdes et al.
____94	5,690,674	11/25/1997	Diaz
____95	5,695,504	12/09/1997	Gifford, III et al.
____96	5,716,375	02/10/1998	Fowler

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
_____97	5,725,554	03/10/1998	Simon et al.
_____98	5,728,114	03/17/1998	Evans et al.
_____99	5,728,122	03/17/1998	Leschinsky et al.
_____100	5,728,132	03/17/1998	Van Tassel et al.
_____101	5,732,872	03/31/1998	Bolduc et al.
_____102	5,810,776	09/22/1998	Bacich et al.
_____103	5,855,312	01/05/1999	Toledano
_____104	5,861,005	01/19/1999	Kontos
_____105	5,871,474	02/16/1999	Hermann et al.
_____106	5,871,501	02/16/1999	Leschinsky et al.
_____107	5,871,525	02/16/1999	Edwards et al.
_____108	5,902,310	05/11/1999	Foerster et al.
_____109	5,904,697	05/18/1999	Gifford, III et al.
_____110	5,935,147	08/10/1999	Kensey et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____111	5,941,890	08/24/1999	Voegele et al.
____112	5,984,949	11/16/1999	Levin
____113	5,993,468	11/30/1999	Rygaard
____114	6,004,341	12/21/1999	Zhu et al.
____115	6,022,372	02/08/2000	Kontos
____116	6,030,364	02/29/2000	Durgin et al.
____117	6,048,358	04/11/2000	Barak
____118	6,056,768	05/02/2000	Cates et al.
____119	6,090,130	07/18/2000	Nash et al.
____120	6,110,184	08/29/2000	Wedock
____121	6,117,148	09/12/2000	Ravo
____122	6,149,660	11/21/2000	Laufer et al.
____123	6,149,667	11/21/2000	Hovland et al.
____124	6,152,937	11/28/2000	Peterson et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____125	6,197,042	03/06/2001	Ginn et al.
____126	6,277,140	08/21/2001	Ginn et al.
____127	6,287,322	09/11/2001	Zhu et al.
____128	6,305,891	10/23/2001	Burlingame
____129	6,322,580	11/27/2001	Kanner
____130	6,334,865	01/01/2002	Redmond et al.
____131	6,348,064	02/19/2002	Kanner
____132	6,391,048	05/21/2002	Ginn et al.
____133	6,398,752	06/04/2002	Sweezer et al.
____134	6,402,765	06/11/2002	Monassevitch et al.
____135	6,409,739	06/25/2002	Nobles et al.
____136	6,428,548	08/06/2002	Durgin et al.
____137	6,443,158	09/03/2002	Lafontaine et al.
____138	6,450,391	09/17/2002	Kayan et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____139	6,488,692	12/03/2002	Spence et al.
____140	6,506,210	01/14/2003	Kanner
____141	6,533,762	03/18/2003	Kanner et al.
____142	6,547,806	04/15/2003	Ding
____143	6,582,452	06/24/2003	Coleman et al.
____144	6,602,263	08/05/2003	Swanson et al.
____145	6,616,686	09/09/2003	Coleman et al.
____146	6,623,510	09/23/2003	Carley et al.
____147	6,626,918	09/30/2003	Ginn et al.
____148	6,632,238	10/14/2003	Ginn et al.
____149	6,634,537	10/21/2003	Chen
____150	6,645,205	11/11/2003	Ginn
____151	6,652,538	11/25/2003	Kayan et al.
____152	6,669,714	12/30/2003	Coleman et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____153	6,679,904	01/20/2004	Gleeson et al.
____154	6,695,867	02/24/2004	Ginn et al.
____155	6,719,777	04/13/2004	Ginn et al.
____156	6,726,704	04/27/2004	Loshakove et al.
____157	6,749,621	06/15/2004	Pantages et al.
____158	6,755,842	06/29/2004	Kanner et al.
____159	6,767,356	07/27/2004	Kanner et al.
____160	6,780,197	02/21/2002	Carley et al.
____161	6,926,731	08/09/2005	Coleman et al.
____162	6,942,674	09/13/2005	Belef et al.
____163	7,001,398	02/21/2006	Carley et al.
____164	7,108,709	09/19/2006	Cummins
____165	7,111,768	09/26/2006	Cummins et al.
____166	7,163,551	01/16/2007	Anthony et al.

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____167	7,211,101	05/01/2007	Carley et al
____168	RE 34,866	02/21/1995	Kensey et al.
____169	2001/0007077	07/05/2001	Ginn et al.
____170	2001/0031972	10/18/2001	Robertson et al.
____171	2001/0047180	11/29/2001	Grudem et al.
____172	2002/0026208	02/28/2002	Belef
____173	2002/0026215	02/28/2002	Redmond et al.
____174	2002/0049427	04/25/2002	Wiener et al.
____175	2002/0049472	04/25/2002	Coleman et al.
____176	2002/0072768	06/13/2002	Ginn
____177	2002/0077657	06/20/2002	Ginn et al.
____178	2002/0082641	06/27/2002	Ginn et al.
____179	2002/0107542	08/08/2002	Kanner et al.
____180	2002/0133193	09/19/2002	Ginn et al.

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____181	2002/0151921	10/17/2002	Kanner et al.
____182	2002/0193808	12/19/2002	Belef et al.
____183	2003/0004543	01/02/2003	Gleeson et al.
____184	2003/0078598	04/24/2003	Ginn et al.
____185	2003/0097140	05/22/2003	Kanner
____186	2003/0109890	06/12/2003	Kanner et al.
____187	2003/0125766	07/03/2003	Ding
____188	2003/0158577	08/21/2003	Pantages et al.
____189	2003/0158578	08/21/2003	Pantages et al.
____190	2003/0195561	10/16/2003	Carley et al.
____191	2004/0009289	01/15/2004	Carley et al.
____192	2004/0010285	01/15/2004	Carley et al.
____193	2004/0039414	02/26/2004	Carley et al.
____194	2004/0073236	04/15/2004	Carley et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____195	2004/0087985	05/06/2004	Loshakove et al.
____196	2004/0153122	08/05/2004	Palermo
____197	2004/0153123	08/05/2004	Palermo et al.
____198	2004/0167570	08/26/2004	Pantages
____199	2004/0254591	12/16/2004	Kanner et al.
____200	2004/0267312	12/30/2004	Kanner et al.
____201	2005/0090859	04/28/2005	Ravlkumar
____202	2005/0119695	06/02/2005	Carley et al.
____203	2005/0216057	09/29/2005	Coleman et al.
____204	2005/0267530	12/01/2005	Cummins et al.
____205	2005/0273136	12/08/2005	Belef et al.
____206	2005/0274768	12/15/2005	Cummins et al.
____207	2006/0020270	01/26/2006	Jabba et al.
____208	2006/0135989	06/22/2006	Carley et al.

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_____209	2006/0144479	07/06/2006	Carley et al.
_____210	2006/0167484	07/27/2006	Carley et al.
_____211	2006/0190014	08/24/2006	Ginn et al.
_____212	2006/0190037	08/24/2006	Carley et al.
_____213	2006/0190038	08/24/2006	Carley et al.
_____214	2006/0195123	08/31/2006	Ginn et al.
_____215	2006/0195124	08/31/2006	Ginn et al.
_____216	2006/0287674	12/21/2006	Ginn et al.
_____217	2007/0010854	01/11/2007	Cummins et al.

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Form PTO-1449

Applicant: Richard S. Ginn, et al.  
Serial No.: 10/682,459  
Filing Date: October 08, 2003  
For: PLUNGER APPARATUS AND METHODS FOR DELIVERING  
A CLOSURE DEVICE

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Filed Date</u>
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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publication Date</u>	<u>Country or Patent Office</u>
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Initial\*

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Database WPI; Section PQ, Week 200120; Derwent Publications Ltd., London GB; Class P31, AN 2001-203165; XP002199926 & ZA 200 100 528 A (ANTHONY T), 28 February 2001 (2001-02-28) abstract.

Issue Notifications, Notices of Allowance, and Office Actions

Examiner <u>Initial</u> *	Patent or Serial Number	Document	Mail Date
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<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
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<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
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<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
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<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
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<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
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<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
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<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
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### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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